

Personal Financial and Estate Inventory

| | |
|--|---|
| <p>Clients Name: _____</p> <p>DOB: ____/____/____</p> <p>Address: _____</p> <p>City, State, Zip: _____</p> <p>Cell Phone: _____</p> <p>Work Phone: _____ Ext ____</p> <p>Email: _____</p> <p>Spouse Name _____</p> <p>DOB: ____/____/____</p> <p>Cell Phone: _____</p> <p>Email: _____</p> | <p>Children Names and Ages</p> <p>Quick Notes:</p> |
|--|---|

Family Goals

What's your #1 Financial Goal/Priority

What's your Biggest Financial Fear?

What is your risk tolerance? Conservative Moderate Aggressive

Other Goals

Financial Objective Checklist: (Circle)

| | | | | | |
|--------------|------------------|----------------|-----------|---------------------|--------|
| Growth | Safety | Liquidity | Principle | Protection | Income |
| Retire Early | College Planning | Debt Reduction | | Not outliving money | |

Employment

Employer: _____

Spouse Employer: _____

Desired Ret Date: ____/____/____

Desired Ret Date : ____/____/____

Start Date ____/____/____

Do you work part time or full time for your employer? _____

Income Sources:

Annual Salary: \$ _____

Spouse Annual Salary: \$ _____

Pension: \$ _____

Spouse Pension: \$ _____

Social Security @ 62 _____

Spouse Security @ 62 _____

@ FRA _____

@ FRA _____

@ 70 _____

@ 70 _____

When do you plan on taking Social Security? _____

Other income source _____ Amount \$ _____

Other income source _____ Amount \$ _____

Other income source _____ Amount \$ _____

Other income source _____ Amount \$ _____

Total Monthly Net Income \$ _____ Total Monthly Expenses \$ _____

Taxes

Current tax bracket Federal _____

Current tax bracket State _____

What's your strategy for reducing taxes in retirement?

Financial Accounts

401K Amount Traditional _____ ROTH _____

Allocation _____

Contribution _____

What's your strategy for 401K distribution in retirement?

Do you have any allotments you're contributing to savings? _____

What are you saving on a monthly basis? _____

| Type of Account (Q, NQ, R) | Financial Company | Amount |
|----------------------------|-------------------|--------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

Required Minimum Distribution total @ 72 _____

Life Insurance Options \$ _____

Total Premium Per Pay Period _____ **Total Estimated Cost at Retirement** _____

| Company | Death Benefit | Cash Value | Monthly Premium |
|---------|---------------|------------|-----------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

Long Term Care

| Company | Death Benefit | LTC Coverage | Monthly Premium |
|---------|---------------|--------------|-----------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

Health Insurance

Premium today _____ Premium in retirement _____

Medicare

Plan _____ Premium \$ _____

Plan _____ Premium \$ _____

Plan _____ Premium \$ _____

Plan _____ Premium \$ _____

Estate Plan

Real Estate

Mortgage Balance \$ _____ Monthly: \$ _____ Interest Rate

_____ % Loan Term _____ years Equity _____

Do you have a Will or a Trust set up? _____

When was the last time it was updated? _____

Who is the executor? _____

Who is the trustee? _____

Do you have a financial Power of Attorney? _____

Do you have a health care Power of Attorney? _____

Debts

| Company Owed | Balance | Interest Rate | Min. Payment | Actual Payment |
|--------------|----------|---------------|--------------|----------------|
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |
| _____ | \$ _____ | _____ % | \$ _____ | \$ _____ |

Total Min \$ _____ Total Actual \$ _____

Notes and Ideas: